

***CAR ALLOCATION PROGRAM (CAPS)  
OHIO VALLEY DIVISION FREIGHT TARIFF 14004***

**OHIO VALLEY DIVISION  
FREIGHT TARIFF 14004  
CAR ALLOCATION PROGRAM (CAPS) TARIFF**

**CONTAINING RULES & REGULATIONS  
GOVERNING  
CAR ALLOCATION PROGRAM**

**ISSUED: August 1, 2025    EFFECTIVE: September 1, 2025**

*Issued by and on behalf of the Subscribing Carriers referenced herein:  
Commercial Services Department  
Genesee & Wyoming Railroad Services, Inc.  
13901 Sutton Park Drive South Suite 270  
Jacksonville, FL 32224*

***CAR ALLOCATION PROGRAM (CAPS)***  
***OHIO VALLEY DIVISION FREIGHT TARIFF 14004***

**SUBSCRIBING CARRIERS:**

CHICAGO, FT. WAYNE & EASTERN RAILROAD	CFE
THE CENTRAL RAILROAD COMPANY OF INDIANA	CIND
INDIANA & OHIO RAILWAY COMPANY	IORY

# ***CAR ALLOCATION PROGRAM (CAPS)***

## ***OHIO VALLEY DIVISION FREIGHT TARIFF 14004***

### Table of Contents

<b><i>SECTION I: GENERAL RULES</i></b> .....	<b>4</b>
<b>ITEM 1000 CLASSIFICATION GOVERNING:</b> .....	<b>5</b>
<b>ITEM 1005 REFERENCE TO TARIFFS, ITEMS, NOTES, RULES, ETC.:</b> .....	<b>5</b>
<b>ITEM 1010 CONSECUTIVE NUMBERS:</b> .....	<b>5</b>
<b>ITEM 1015 METHOD OF CANCELLING ITEMS OR CHANGE IN PROVISION(S):</b> .....	<b>5</b>
<b>ITEM 1020 TARIFF APPLICATION:</b> .....	<b>5</b>
<b>ITEM 1025 ALLOCATED CARS:</b> .....	<b>5</b>
<b>ITEM 1030 NON-ALLOCATED CARS:</b> .....	<b>5</b>
<b>ITEM 1035 CAPS, DEFINED:</b> .....	<b>5</b>
<b>ITEM 1040 SHIPPING PERIODS:</b> .....	<b>6</b>
<b>ITEM 1045 COMMODITIES:</b> .....	<b>6</b>
<b>ITEM 1050 CUSTOMER:</b> .....	<b>6</b>
<b>ITEM 1055 TARIFF RATE:</b> .....	<b>6</b>
<b>ITEM 1060 THIRD PARTY OR PARTIES:</b> .....	<b>6</b>
<b>ITEM 1065 FORCE MAJEURE:</b> .....	<b>6</b>
<b>ITEM 1070 OTHER GOVERNING PROVISIONS:</b> .....	<b>6</b>
<b>ITEM 1075 SHIPPING ZONES:</b> .....	<b>6</b>
<b><i>SECTION II: PROVISIONS SPECIFIC RULES &amp; BID PROCEDURE</i></b> .....	<b>7</b>
<b>ITEM 2000 SPECIFIC RULES AND BID PROCEDURE:</b> .....	<b>8</b>
<b>ITEM 2005 CAPS CONTRACT:</b> .....	<b>9</b>

***CAR ALLOCATION PROGRAM (CAPS)***  
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***SECTION I: GENERAL RULES***

# ***CAR ALLOCATION PROGRAM (CAPS)***

## ***OHIO VALLEY DIVISION FREIGHT TARIFF 14004***

### **GENERAL RULES:**

#### **GOVERNING TARIFFS:**

This tariff is governed, except as otherwise provided, by OPSL (Official Railroad Station List) 6000-Series, STCC (Standard Transportation Commodity Code) 6001-Series, UFC (Uniform Freight Classification) 6000-Series and BOE (Bureau of Explosives) 6000-Series.

#### **ITEM 1000 CLASSIFICATION GOVERNING:**

The term “Uniform Freight Classification” when used herein means:  
Freight Tariff Uniform Freight Classification 6000-Series

#### **ITEM 1005 REFERENCE TO TARIFFS, ITEMS, NOTES, RULES, ETC.:**

Where reference is made in this tariff to tariffs, items, notes, rules, etc., such references are continuous and include supplements to and successive issues of such tariffs and reissues of such items, notes, rules, etc.

#### **ITEM 1010 CONSECUTIVE NUMBERS:**

Where consecutive numbers are represented in this tariff by the first and last numbers connected by the word “to” or a hyphen, they will be understood to include both numbers shown. If the first number only bears a reference mark such reference mark also applies to the last number shown and to all numbers between the first and last numbers.

#### **ITEM 1015 METHOD OF CANCELLING ITEMS OR CHANGE IN PROVISION(S):**

This tariff will be amended by showing a revised Issued and Effective date. Revisions to this publication will be made from time to time by reissuing the tariff in its entirety and will be available on the website in the latest amended form ([www.gwrr.com](http://www.gwrr.com)).

#### **ITEM 1020 TARIFF APPLICATION:**

This tariff applies on a bid process ordering/guarantee system (CAPS) serving as an option to the general car ordering system.

#### **ITEM 1025 ALLOCATED CARS:**

Each month each of the Subscribing Carriers shall make available for CAPS, under the terms and conditions of this tariff, up to seventy percent (70%) of its total fleet of covered hopper cars.

#### **ITEM 1030 NON-ALLOCATED CARS:**

Each month each of the Subscribing Carriers shall make available a minimum of thirty percent (30%) of its total fleet of covered hopper cars for request for placement in the normal course of business, not subject to allocation pursuant to this tariff.

#### **ITEM 1035 CAPS, DEFINED:**

An anticipated loading in an allocated covered hopper car, as requested in Customer’s bid and awarded by a Subscribing Carrier, shall be manifested by a CAPS contract.

# ***CAR ALLOCATION PROGRAM (CAPS)***

## ***OHIO VALLEY DIVISION FREIGHT TARIFF 14004***

### **ITEM 1040 SHIPPING PERIODS:**

First day of a month through last day of a month.

### **ITEM 1045 COMMODITIES:**

Farm Products (STCC: 01-xxx-xx) or Food and Kindred Products (STCC: 20-xxx-xx).

### **ITEM 1050 CUSTOMER:**

Shippers having elevators or other facilities served by a Subscribing Carrier and tendering shipments of the Commodities to a Subscribing Carrier, or to third parties for billing.

### **ITEM 1055 TARIFF RATE:**

The rate governing the shipment on the date of the shipment.

### **ITEM 1060 THIRD PARTY OR PARTIES:**

Party other than the Customer or a Subscribing Carrier.

### **ITEM 1065 FORCE MAJEURE:**

- A. In the event Customer or a Subscribing Carrier is unable to meet its obligations under this tariff as a result of Acts of God, war, insurrection, strikes, derailments, or any like causes beyond its control, the obligations of those parties affected by the force majeure condition shall be suspended for the duration of same; PROVIDED, HOWEVER, that the parties shall make all reasonable efforts to continue to meet their obligations for the duration of the force majeure condition; PROVIDED, FURTHER, that the party declaring force majeure shall notify the other party promptly by facsimile or phone of when the force majeure begins, the nature of the force majeure condition and when the condition is terminated.
- B. The suspension of any obligations owing to force majeure shall neither cause this tariff to be extended nor affect any rights accrued under this tariff prior to the force majeure condition.

### **ITEM 1070 OTHER GOVERNING PROVISIONS:**

This tariff governs only CAPS. All other provisions governing the shipments, including but not limited to rates, switching, demurrage, surcharges, and other accessorial provision, shall be published in the applicable tariffs, rate publications or similar documents on the date of shipment and/or services.

### **ITEM 1075 SHIPPING ZONES:**

The Shipping Stations specified in the applicable auction in the on-line bid and auction system.

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***SECTION II: PROVISIONS SPECIFIC  
RULES & BID PROCEDURE***

# ***CAR ALLOCATION PROGRAM (CAPS)***

## ***OHIO VALLEY DIVISION FREIGHT TARIFF 14004***

### **ITEM 2000 SPECIFIC RULES AND BID PROCEDURE:**

Subject to the general rules, regulations and provisions as outlined in Section I - General Rules.

- A. Requests for CAPS shall be submitted through the online bidding system available in the customer portal. Any awarded CAPS cars will be evidenced by the CAPS contract and distributed upon award of CAPS cars.
- B. Bids are to be awarded through the online bidding system.
- C. Cars will be offered on the 1<sup>st</sup> Monday of every month with bids closing on the 1<sup>st</sup> Thursday of every month. Cars will be awarded by the 2<sup>nd</sup> Monday of every month. If the Monday is a Holiday, this will push back a day for both the bids due and the bids awarded.
- D. Bids shall be in the amounts of whole dollars per car.
- E. Bids cannot exceed a maximum of 50 cars.
- F. A Subscribing Carriers reserves the right to accept or reject any bids. Bids will only be accepted from on-line Customers (no third parties).
- G. A Subscribing Carrier or the third-party administrator on behalf of a Subscribing Carrier reserves the right to accept requests for CAPS fewer in number than had been requested by Customer in its bid.
- H. Customer must notify a Subscribing Carrier of requested car placement date (and location if more than one is applicable) of all awarded CAPS cars within forty-eight (48) hours of cars being awarded.
- I. A Subscribing Carrier reserves the right to spot cars for loading prior to want date(s), and will waive demurrage during the railroad convenience placement and the want date(s).
- J. Customer may transfer CAPS awarded cars between its own served facilities located on a Subscribing Carrier's rail lines.
- K. If a Subscribing Carrier does not constructively or actually place cars at the Customer's facility/facilities designated by the Customer during the shipping period designated by the Customer, the Subscribing Carrier shall pay Customer 125% of the bid for each car not placed.
- L. A Subscribing Carrier shall not be under obligation to pay the 125% bid penalty for any CAPS cars placed after the shipping period if Customer does not notify the Subscribing Carrier of requested car placement date and location within the forty-eight (48) hour period after CAPS cars being awarded.
- M. CAPS awarded cars may not be traded or assigned.
- N. If any bid is selected and CAPS cars are awarded and Customer cancels a car order or fails to advise the Subscribing Carrier where and when to place cars, Customer shall still be obligated to pay the total amount owed in the CAPS contract.
- O. Bids will be accepted and awarded through the online bidding system. A Subscribing Carrier may choose to alter auction schedules or include additional auctions to accommodate demand.



# ***CAR ALLOCATION PROGRAM (CAPS)***

## ***OHIO VALLEY DIVISION FREIGHT TARIFF 14004***

### **ITEM 2005 CAPS CONTRACT:**

<b>CAPS CONTRACT</b>					
	<table border="1" style="width: 100%; border-collapse: collapse;"><tr><td style="width: 50%; padding: 2px 5px;">SUBJECT</td><td style="width: 50%; padding: 2px 5px;">ITEM</td></tr><tr><td style="padding: 2px 5px;">CAPS Contract</td><td style="padding: 2px 5px;">2000</td></tr></table>	SUBJECT	ITEM	CAPS Contract	2000
SUBJECT	ITEM				
CAPS Contract	2000				
Customer: _____					
Railroad: _____					
Customer Address: _____					
Customer Phone #: _____ Customer Email Address: _____					
Customer Representative: _____					
Auction Name: _____ Bid Number: _____					
Car Quantity Awarded: _____					
Awarded Bid Price Per Car: \$ _____ .00    Total Amount Owed: \$ _____ .00 (whole dollars per car)					
<ul style="list-style-type: none"><li>• Authorized Customer Representative Signature: <u>  /s/ [Name]  </u>    Date: _____ (Auction Ended)</li> <li>• Authorized Railroad Representative Signature: <u>  /s/ [Name]  </u>    Date: _____ (Auction Ended)</li></ul>					
Car Type: _____					
Delivery Date*: _____					
<small>*Awarded cars will be delivered in accordance with the Customer's subsequent order, subject to payment of applicable invoice. <b>Customer shall reference the Bid Number above on all orders to ensure prompt delivery.</b></small>					

Terms & Conditions of the CAPS bidding system and the applicable railroad tariff are incorporated by reference into this CAPS Contract: RAILROAD TARIFF NO. Ohio Valley Division Cap Freight Tariff 14004

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